



Butensky & Cohen Financial Security, Inc.

The Butensky & Cohen Building
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SUPERVISION

Jacqueline A. Bos
Chief Compliance Officer

Phone: 904.273.9850
E-mail: jacque@bandcfinancial.com

Ms. Bos oversees the advisory activities of all supervised persons and manages our compliance related issues.

Duties include reviewing investment activities to ensure all supervised persons are acting in your best interests in discharging their duties. Any transactions that appear to be out of the ordinary are checked.

BROCHURE SUPPLEMENT DATED

1
JANUARY
2024

This Brochure Supplement provides information about Thomas L. Ellis that is an accompaniment to the Disclosure Brochure for our firm, Butensky & Cohen Financial Security, Inc. You should have received both of these together as a complete disclosure packet. If you did not receive our Disclosure Brochure or if you should have questions about this Brochure Supplement for Mr. Ellis, you are welcome to contact us - our contact information is listed to the left.

Additional information about Butensky & Cohen Financial Security, Inc and Thomas L. Ellis are also available on the SEC's website at www.adviserinfo.sec.gov.

Thomas L. Ellis, AWMA®

CRD#: 4448624
Year of Birth: 1974

EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Education

1997 – University of North Florida: Bachelor of Science in Business Administration

Licenses

FINRA Exams: Series 6 – Investment Company and Variable Contracts Products Rep. (Retired)
Series 63 – Uniform Securities Agent State Law Examination (Retired)
Series 65 – Uniform Investment Advisor Law Examination

Designations: Accredited Wealth Management AdvisorsSM (AWMA®) designation – The AWMA® designation requires one to meet ethical standards, education, and experience. Designation expires June 22, 2023, and must be renewed.

Business Background

09/2009 – Present Butensky & Cohen Financial Security, Inc.
Position: Executive Vice President
02/2004 – 04/2009 Bank of America, Global Wealth Management Division
Position: Vice President – Client Manager
02/1998 – 02/2004 Nations Bank/Bank of America
Position: Assistant Vice President – Personal Banker

DISCIPLINARY INFORMATION

There are no legal or disciplinary events to report.

OTHER BUSINESS ACTIVITIES

Individual Trustee Services

Thomas L. Ellis, in his individual capacity, provides individual trustee services. In some cases, he serves as trustee for clients of B&C Financial Advisors. Fees charged by Mr. Ellis for trustee services are detailed and agreed upon with each client before Mr. Ellis assumes trustee responsibilities. Fees charged for trustee services are separate from the fees charged for investment advisory services provided by B&C Financial Advisors. Please refer to Item 5 of Form ADV Part 2A for details of our investment advisory fee arrangements. Mr. Ellis will share in fees received by B&C Financial Advisors in addition to fees received by him for services as trustee. Trustee clients are aware Mr. Ellis receives a trustee fees and B&C Financial Advisors investment advisory fees and receive a copy of Mr. Ellis's trustee invoice and a quarterly investment advisory fee statement.

Mr. Ellis's duties as trustee include administering the trust in accordance with the terms and conditions of the trust instrument and applicable laws under the state of Florida. Mr. Ellis fully respects the traditional duties of trusteeship such as the obligation of loyalty, good faith, prudence, and impartiality in the administration of the trust corpus. He strives to treat beneficiaries fairly and shall keep and render clear and accurate accounting records. Disclosure of the trust, trust terms and trust corpus to third parties is limited to that which, in Mr. Ellis's reasonable discretion, is proportionate and appropriate. He shall consider the reasonable request of any trust beneficiary but shall be under no obligation to act on such request and shall continue, at all times, to exercise independent discretionary management of the trust estate.

Mr. Ellis is involved in other business activities. 110 Professional, LLC, also located at 110

Professional Drive, Suite 101, Ponte Vedra Beach, FL 32081 was established for the purpose of Real Estate Investment Managers Relationship started 10/28/2020. Approximate hours a month devoted to the LLC is 2-3 hours. Hours devoted to LLC during securities trading hours is 0 - 1 hour. Duties include building management, account payables, accounting/tax preparations.

ADDITIONAL COMPENSATION

Mr. Ellis does not receive any economic benefit, sales awards, prizes or bonuses that are based on the number or amount of sales, client referrals, or from opening new accounts.